

Lecture 19:

Yao's XOR Lemma

# Worst Case vs. Average Case Hardness

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Goal: "Amplify hardness" by taking worst case hard  
fctn + turn it into average case hard fctn.

how? by showing that if not average case  
hard, can solve in worst case

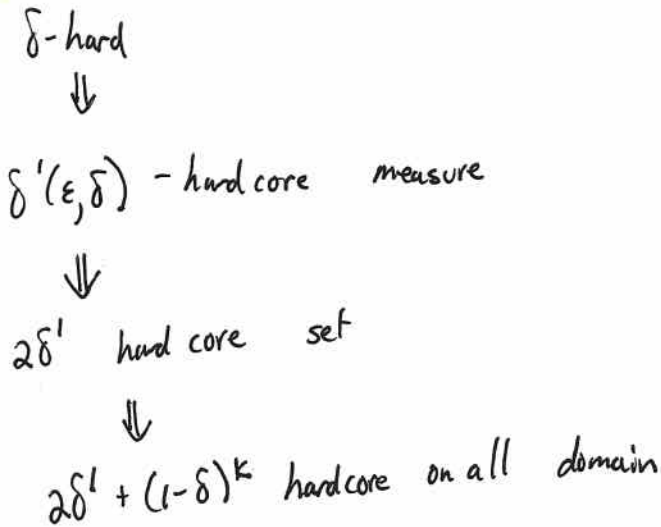
## Yao's XOR lemma:

- works for any hard fctn
- Intuition from predicting random coins:
  - given  $\delta$ -biased coin ( $\Pr(\text{heads}) = \delta$ )
  - predict correctly with prob  $1 - \delta$
  - predict parity of  $k$  tosses correctly  
with prob  $\approx \frac{1}{2} + (1 - 2\delta)^k$   
 $\rightarrow \frac{1}{2}$  as  $k \rightarrow \infty$
- Is solving  $k$  independent copies of  $f$   
 $k$  times harder than solving 1 problem?

maybe not:

matrix vector mult is  $\Theta(n^2)$  time  
matrix matrix mult is  $\Theta(n^3)$

Plan



More details

[will show hardness for ckts of size  $g$  as opposed to Turing machines with running time  $t$ ]
   
← nonuniform model
  
← uniform model

def  $f: \{\pm 1\}^n \rightarrow \{\pm 1\}$  is  $\delta$ -hard on distribution  $D$

for size  $g$  if for any Boolean ckt  $C$  with  $\leq g$  gates

$$\Pr_{x \in D} [C(x) = f(x)] \leq 1 - \delta$$

ie. always err on  $\geq \delta$  fraction

e.g. if  $\delta = 2^{-n}$  then  $\geq 1$  input wrong  
 $\delta = 1/2$  then no ckt does better than random guessing. (can always get  $\delta = 1/2$  with  $C \equiv 1$  or  $C \equiv -1$ )

Our goal find (fctn, D) pair that is hard on  $\approx \frac{1}{2}$  inputs according to D

Recall:  $Adv_c(M) = \sum_x P_D(x) M(x)$   
 $\begin{cases} +1 & \text{if } c(x) = f(x) \\ -1 & \text{if } c(x) \neq f(x) \end{cases}$

$|M| = \sum_x M(x)$   
 $\mu(M) = |M|/2^m$

def. M measure

if  $Adv_c(M) < \epsilon |M|$  (ie.  $\Pr_{x \in D_M} [C(x) = f(x)] \leq \frac{1}{2} + \frac{\epsilon}{2}$ )

$\forall$  ckts c of size  $\leq g$

then f is  $\epsilon$ -hard core on M for size g  $\} \epsilon$  Hardcore measure

If M is characteristic fctn of a set:

def' S set

f is  $\epsilon$ -hard core on S for size g if

$\forall$  ckts c of size  $\leq g$   $\Pr_{x \in S} [C(x) = f(x)] \leq \frac{1}{2} + \frac{\epsilon}{2}$

$\uparrow$   
 $D_M = U_S$

Will show:

$\forall$  worst case hard f,  $\exists$  h.c. set on  $S = \{\pm 1\}^n$

"Hard fctns have hard core measures"  
 $\leftarrow$  wrong some of the time

Thm let f be  $\delta$ -hard for size g on uniform dist  $\} \text{weakly ave case hard}$

let  $1 > \epsilon > 0$

then  $\exists M$  st  $\mu(M) \geq \delta$  st.

f is  $\epsilon$ -h.c. on M for size  $g' = \frac{1}{4} \epsilon^2 \delta^2 g$   $\} \text{ave case hard}$

$\uparrow$   
 wrong almost  $\frac{1}{2}$  the time!

a bit smaller than g

Pf.

follow boosting outline:

if not  $\Rightarrow \forall M$  st.  $\mu(M) \geq \delta$ ,  $f$  not  $\epsilon$ -h.c. for  $g'$

$\Rightarrow \exists$  "Weak learner" i.e. ckt with advantage  $\epsilon |M|$   
 $+ \text{size} \leq g'$  on all  $M$  s.t.  $\mu(M) \geq \delta$   
 predicts  $\geq \frac{1}{2} + \frac{\epsilon}{2}$

$\Rightarrow$  Maj of  $\frac{1}{\epsilon \delta^2}$  ckts of size  $g'$  predicts with error  $\geq 1 - \delta$

total size  $\leq \frac{1}{\epsilon \delta^2} \cdot g' < g$

$\Rightarrow f$  not  $\delta$ -hard for size  $g$   $\blacksquare$

Can also get "hard fns have hard core sets"

Thm  $M$  is  $\epsilon$ -h.c. measure for size  $2n < g' < \frac{\epsilon^2 \delta^2}{8} \frac{2^n}{n}$

then  $\exists$   $(2\epsilon)$ -h.c. set  $S$  for  $f$   
 lose factor of 2

for size  $\underbrace{g'}_{\text{lose nothing}}$  with  $|S| \geq \delta 2^n$

Pf # ckts of size  $g' < \frac{1}{4} e^{2^n \cdot \epsilon \delta^2}$

Pick  $S$  randomly according to  $D_M$

Show  $\Pr \{ \text{any } C \text{ of size } g' \text{ has } 2\epsilon |M| \text{ advantage} \}$   
 small via Chernoff

union bnd

lots  $\approx \delta 2^n$   
 twice expectation, but it's sum of lots of independent r.v.'s with expectation near  $\frac{1}{2} + \frac{\epsilon}{2}$



Yao's XOR Lemma (hard core set  $\Rightarrow$  hard to predict on all domain but we change the fctn)

given  $f$   
 $f^{\oplus k}(x_1, \dots, x_k) = f(x_1) \oplus f(x_2) \oplus \dots \oplus f(x_k)$

$f$  is  $\epsilon$ -h.c. for  $\forall$  set  $H$  of size  $\geq \delta 2^n$  for size  $g+1$

$\Rightarrow f^{\oplus k}$  is  $\underbrace{\epsilon + 2(1-\delta)^k}$ -h.c. for size  $g$

lose a bit here

Proof

assume ckt  $C$  st.  $\leq g$  gates

$\vdagger \Pr_{x_1, \dots, x_k} [C(x_1, \dots, x_k) = f^{\oplus k}(x_1, \dots, x_k)] \geq \frac{1}{2} + \frac{\epsilon}{2} + (1-\delta)^k$

Plan:  $\forall H$  st.  $|H| \geq \delta 2^n$  will get ckt  $C'$  st.  $|C'| \leq g+1$  which guesses  $f$  with prob  $\geq \frac{1}{2} + \frac{\epsilon}{2}$  on  $H$   
 so not  $\epsilon$ -h.c.

Realizing the plan:

Construction of  $C'$ :

$A_m \equiv$  event that exactly  $m$  of  $x_1, \dots, x_k$  in  $H$

get assumption in nicer form

$\Pr_{x_1, \dots, x_k} [A_0] \leq (1-\delta)^k$  (all easy - can't be too likely)

so  $\Pr_{x_1, \dots, x_k} [C(x_1, \dots, x_k) = f^{\oplus k}(x_1, \dots, x_k) \mid \cup A_m \text{ for } m \geq 1] \geq \frac{1}{2} + \frac{\epsilon}{2}$

$\vdagger$  by averaging

$\exists 1 \leq i \leq k$  st.  $\Pr_{x_1, \dots, x_k} [C(x_1, \dots, x_k) = f^{\oplus k}(x_1, \dots, x_k) \mid A_i] \geq \frac{1}{2} + \frac{\epsilon}{2} *$



Idealized ckt: (for  $x$  drawn from uniform dist on  $H$ )  
 given  $x \in H$  compute  $f(x)$  as:

1. pick  $x_1 \dots x_{m-1} \in_R H$
2. pick  $y_{m+1} \dots y_k \in_R \bar{H}$
3. randomly permute  
 $(x_1, \dots, x_{m-1}, x, y_{m+1}, \dots, y_k)$  via random permutation  $\pi$

but

$$\Pr_{x_1 \dots x_{m-1}, x, y_{m+1} \dots y_k, \pi} [C(\pi(x_i^1's, x, y_i^1's)) = f^{\oplus k}(\pi(x_i^1's, x, y_i^1's))] \geq \frac{1}{2} + \frac{\epsilon}{2}$$

(exact same probability start as in \*)

by averaging,

$\exists$  choice of  $x_1, \dots, x_{m-1}, y_{m+1}, \dots, y_k, \pi$

$$\text{s.t. } \Pr_x [C(\pi(x_i^1's, x, y_i^1's)) = f^{\oplus k}(\pi(x_i^1's, x, y_i^1's))] \geq \frac{1}{2} + \frac{\epsilon}{2}$$

$$= f(x) \oplus \bigoplus_i f(x_i) \oplus \bigoplus_i f(y_i)$$

each choice of  $i, x_i^1's, y_i^1's, \pi$ , bit gives ckt of size  $\leq g$   
 at least one of them is good  
 Call it  $\approx$



Known bit, same  $\forall x$  so can hardcode the bit  $b$  and  $x_i^1's, y_i^1's, \pi$  into ckt + compute  $f(x)$  from  $(\pi(x_i^1's, x, y_i^1's)) \oplus b$

(Correct for  $y_{m+1} \dots y_k$ )

Real ckt:

$\tilde{C}$  st.  $i, x_i$ 's,  $y_j$ 's,  $\underbrace{\oplus_j f(x_j) \oplus \oplus_j f(y_j)}_b$ ,  $\Pi$  encoded into advice

given  $x \in H$

use  $\tilde{C}$  on  $x$  to get  $w$  } size =  $|\Sigma| + 1$   
output  $w \oplus b$

$$\Pr_x [f(x) = w \oplus b] \geq \frac{1}{2} + \frac{\epsilon}{2}$$

size of ckt  $\leq g + 1$

so  $f$  is not  $\epsilon$ -h.c. for  $g + 1$

$\rightarrow \leftarrow$