

## SEPARATING POINT SETS IN POLYGONAL ENVIRONMENTS

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We consider the separability of two point sets inside a polygon by means of chords or geodesic lines. Specifically, given a set of red points and a set of blue points in the interior of a polygon, we provide necessary and sufficient conditions for the existence of a chord and for the existence of a geodesic path that separate the two sets; when they exist we also derive efficient algorithms for their obtention. We also study the separation of the two sets using the minimum number of pairwise non-crossing chords.

## 1. Introduction

Given two point sets  $R$  and  $B$  in the interior of a polygon (the *red points* and the *blue points*, respectively), is there a chord separating  $R$  from  $B$ ? This basic question is the starting point for our paper, and one of several related problems we study.

Problems on separability of point sets and other geometric objects have generated a significant body of research in computational geometry. Many kinds of separators have been considered, including lines<sup>12</sup>, circles<sup>3,4</sup>, convex polygons<sup>7</sup>, and wedges and strips<sup>10</sup>. A thorough study is given in<sup>16</sup>. The main motivations underlying these different works arise in disciplines such as spatial data organization, statistical analysis, and more generally, wherever methods for clustering or classification are useful.

In the plane, an ideal paradigm of separability is by means of a single line, whenever possible. (The analog in higher dimensions is a hyperplane, but we focus here on two dimensions.) A line partitions the plane into two clean regions, and gives an easy classification rule for any query point (the sidedness test). However, if we constrain our working space to the interior of a polygon, an otherwise linearly separable population of points may lie in many different cells (Figure 1, left). On the other hand, the two point sets may be separable by just one chord of the polygon, while no linear separation exists in the underlying plane without the polygon boundaries (Figure 1, right).

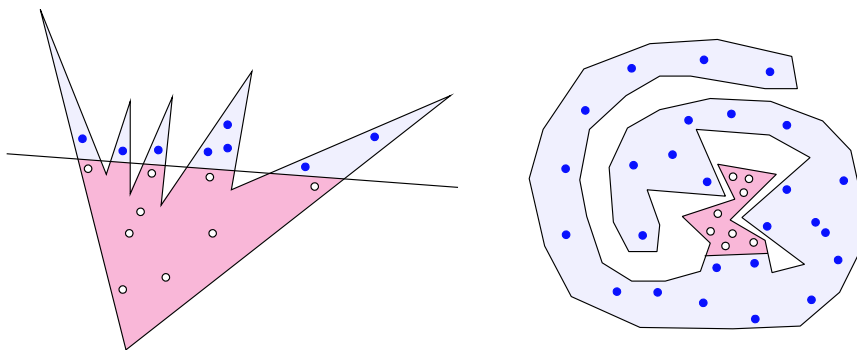


Fig. 1. Left: red and blue points that are linearly separable in the plane but generate many regions in the polygon. Right: a chord that separates point sets which cannot be separated with a line in the plane.

The study of basic geometric structures in the interior of a polygon naturally leads to the study of geodesics (shortest paths) in the polygon. This topic has also attracted a lot of attention. Some examples are the geodesic diameter<sup>17</sup>, the relative convex hull<sup>19</sup>, the 1-center problem<sup>14,18</sup>, the geodesic Voronoi diagrams<sup>1,2,13</sup>, and most recently, geodesic ham-sandwich separators<sup>5</sup>.

In Section 2 we study, both from the structural and computational viewpoint, the two more natural ways to separate point sets in a polygon: by means of one chord, and by means of a single geodesic line, i.e., a shortest path between two boundary points. In fact, we prove that the necessary and sufficient conditions for both kinds of separability are closely related. In both cases we obtain polynomial-time algorithms to find a separator or report that none exists.

In Section 3 we study the problem of separating the two point sets using as few non-crossing chords as possible. We show that the problem is polynomially solvable when the polygon is combinatorially very simple, and that the problem becomes NP-complete when the polygon may have holes. In between, there remains an intriguing open problem.

Throughout this paper,  $R$  (the *red points*) and  $B$  (the *blue points*) are two given finite sets of points inside a given polygon  $P$ . Their cardinalities are denoted by  $r = |R|$  and  $b = |B|$ . The total number points in  $R \cup B$ , plus the number of vertices of the polygon  $P$ , is denoted by  $n$ . We let  $k$  denote the number of reflex vertices of the polygon  $P$ .

## 2. Linear separability

Let  $C$  be a simple curve connecting two points on the boundary of a polygon  $P$ .  $C$  decomposes  $P$  into two closed subsets  $\overline{C}^+$  and  $\overline{C}^-$ , with  $\overline{C}^+ \cup \overline{C}^- = P$  and  $\overline{C}^+ \cap \overline{C}^- = C$ . We also write  $C^+ = \overline{C}^+ - C$  and  $C^- = \overline{C}^- - C$ . We say that  $C$  *separates* two sets  $R$  and  $B$  if  $R \subseteq C^\alpha$  and  $B \subseteq C^\beta$ , where  $\alpha = +, \beta = -$  or  $\alpha = -, \beta = +$ . We say that  $C$  *weakly separates* two sets  $R$  and  $B$  if  $R \subseteq \overline{C}^\alpha$  and  $B \subseteq \overline{C}^\beta$ .

When the curve  $C$  is a geodesic, the sets  $\overline{C}^+$  and  $\overline{C}^-$  are called *half-polygons*. The *geodesic convex hull*  $GH(S)$  (also called the *relative convex hull*) for a set  $S$  of points inside a polygon  $P$  is the intersection of all half-polygons that contain  $S$ . A set  $S$  is *geodesically convex* if  $S = GH(S)$ .

**Theorem 1.** *Two sets of points in a polygon  $P$  are separable by a chord if and only if their geodesic convex hulls are disjoint.*

**Proof.** Let  $C$  be a chord with endpoints  $p$  and  $q$  separating sets  $R$  and  $B$  in  $P$ . A chord is a geodesic line, so by the definition of geodesic convex hulls,  $GH(R) \subseteq \overline{C}^\alpha$  and  $GH(B) \subseteq \overline{C}^\beta$ , and so,  $GH(R) \cap GH(B) \subseteq C$ . Moreover, since  $C$  does not contain any points of  $R$  or  $B$ ,  $GH(R)$  (resp.  $GH(B)$ ) cannot contain  $p$  or  $q$  unless that point is a reflex vertex in  $C^\alpha$  (resp.  $C^\beta$ ), and  $GH(R)$  (resp.  $GH(B)$ ) cannot contain an interior point of  $C$  unless it contains both  $p$  and  $q$ . Note that  $p$  can only

be a reflex vertex for at most one of  $C^\alpha$  and  $C^\beta$ . This implies that  $GH(R)$  and  $GH(B)$  cannot intersect.

Now suppose  $GH(R)$  and  $GH(B)$  are disjoint. Let  $D$  be the shortest geodesic with endpoints  $u \in GH(R)$  and  $v \in GH(B)$ , let  $s$  be some line segment from  $D$ , let  $\ell$  be the bisector of  $s$ , and let  $m = s \cap \ell$ . Define the chord  $C = (p, q)$  where  $p$  and  $q$  are the intersections of  $\ell$  and the boundary of  $P$  closest to  $m$  in both directions. We claim that the chord  $C$  separates  $GH(R)$  and  $GH(B)$ . Suppose that on the contrary, the boundary of  $GH(R)$  intersects the segment  $mp$ , and let  $p'$  be the intersection closest to  $m$ . Let  $Q$  be the Jordan curve composed of the portion of  $D$  from  $m$  to  $u$ , the boundary of  $GH(R)$  from  $u$  to  $p'$ , and the segment from  $p'$  to  $m$ . Note that the boundary of  $P$  does not intersect the interior of the region surrounded by  $Q$ , and so the geodesics from  $m$  to  $u$  and from  $u$  to  $p'$  (which only intersect in  $u$ ) are both concave. Consider the ray  $r$  from  $m$ , orthogonal to the line  $up'$ , and intersecting that line in  $u'$ , and let  $u''$  be the first intersection of  $r$  and the geodesic from  $u$  to  $p'$ . Since the geodesic from  $u$  to  $p'$  is concave, the geodesic distance from  $m$  to  $u$  is  $d(m, u) \geq d(m, u') > d(m, u'')$ , and  $d(v, u) = d(v, m) + d(m, u) > d(v, m) + d(m, u'') > d(v, u'')$ . This implies that  $D$  was not the shortest geodesic from  $R$  to  $B$ , a contradiction.  $\square$

**Lemma 1.** *Given two disjoint geodesically convex polygons  $A$  and  $B$  in a polygon  $P$ , the two points  $u \in A$  and  $v \in B$  that minimize the length of the geodesic path between  $u$  and  $v$  can be found in  $O(n \log n)$  time.*

**Proof.** Let  $P'$  be the subpolygon  $GH(A \cup B) - A - B$ , which can be computed in  $O(n \log n)$  time<sup>19</sup>. The shortest geodesic path from any point in  $A$  to any point in  $B$  must be entirely inside  $P'$ , starting and ending at boundary points of  $P'$  shared with  $A$  and  $B$ . The common boundary  $A'$  between  $A$  and  $P'$  and the common boundary  $B'$  between  $B$  and  $P'$  are both concave chains of  $P'$ . The boundary of  $P'$  connects  $A'$  and  $B'$  using two other chains  $C$  and  $D$ . These chains  $C$  and  $D$  may intersect along some path; they are concave wherever they do not intersect. Let  $L$  be the set of supporting lines of all edges of  $C$  and  $D$ . The  $O(n)$  lines in  $L$  subdivide the edges of  $A'$  and  $B'$  into  $O(n)$  sub-edges, which can be computed in  $O(n \log n)$  time by binary searching in each concave chain for each line. For any sub-edge  $a$  of  $A'$  and any sub-edge  $b$  of  $B'$ , we can compute in  $O(\log n)$  time the length  $d(a, b)$  of the shortest geodesic path between any point  $u \in a$  and any point  $v \in b$ . First, in  $O(n)$  time, we preprocess  $P'$  into a data structure of Guibas and Hershberger<sup>9</sup> supporting  $O(\log n)$ -time queries for the length and first and last edges of the geodesic path between two points  $u$  and  $v$  on the boundary of  $P'$ . Now the geodesic paths between any point  $u$  on the sub-edge  $a$  and any point  $v$  on the sub-edge  $b$  have the same internal edges, so we can query any two such points  $u$  and  $v$  in  $O(\log n)$  time and then optimize the first and last edges in  $O(1)$  time. It can be shown that the arc-length parameterization of the geodesic distance in  $P'$  from a fixed point  $u$  on  $A'$  to a point  $v$  moving at unit speed along the concave chain

$B'$  is a convex function. This convexity for fixed  $u$  (and symmetrically for fixed  $v$ ) implies that every row and every column of the matrix  $d(a, b)$  over all sub-edges  $a$  of  $A'$  and  $b$  of  $B'$  is unimodal and that a local minimum in the matrix is a global minimum of the matrix. Therefore we can find the minimum distance in the matrix (which is the desired shortest geodesic path length) via  $O(\log^2 n)$  queries using a two-dimensional Fibonacci search. The Guibas-Hershberger data structure allows us to report the geodesic path corresponding to the minimum matrix entry  $d(a, b)$  in  $O(n)$  time.  $\square$

**Corollary 1.** *There is an  $O(n \log n)$  algorithm that, given sets  $R$  of red points and  $B$  of blue points in a simple polygon  $P$ , either finds a chord that separates  $R$  and  $B$  or reports that no such chord exists.*

**Proof.** Computing  $GH(R)$  and  $GH(B)$  can be done in  $O(n \log n)$  time<sup>19</sup> and verifying that they don't intersect can be done within the same time bound. By the previous lemma, we can find the shortest geodesic connecting  $GH(A)$  and  $GH(B)$  in  $O(n \log n)$  time. The separating chord can then be found in  $O(n)$  time.  $\square$

**Theorem 2.** *Two sets of points in a polygon  $P$  are weakly separable by a geodesic line if and only if the interiors of their geodesic convex hulls are disjoint.*

**Proof.** By the definition of a geodesic convex hull, if a geodesic line  $C$  weakly separates  $R$  and  $B$  in  $P$ , then  $GH(R) \subseteq \overline{C}^\alpha$  and  $GH(B) \subseteq \overline{C}^\beta$ , and so  $GH(R)$  and  $GH(B)$  have disjoint interiors.

On the other hand, if  $GH(R)$  and  $GH(B)$  have disjoint interiors, then  $I = GH(R) \cap GH(B)$ , if not empty, is a curve. Furthermore, it is a geodesic between its two endpoints  $u$  and  $v$ . The boundaries of  $GH(R)$  and  $GH(B)$  are intersecting on one side of  $u$ , and start with two disjoint line segments  $s_\alpha$  and  $s_\beta$  on the other side. Draw a line segment from  $u$  along a ray bisecting the angle between  $s_\alpha$  and  $s_\beta$ , until the first intersection with  $P$ , and do the same for  $v$ . The resulting curve  $I'$  is a geodesic line because it is the concatenation of three geodesics:  $I$  and two line segments, and is locally optimal at both endpoints of  $I$ . We further claim it weakly separates  $R$  and  $B$ . Indeed, suppose that the ray from  $u$  is intersected by  $GH(R)$ , and let  $u'$  be the closest intersection to  $u$ . The segment  $uu'$  is not intersected by the boundary of  $P$ , so that segment must be contained in  $GH(R)$ , but then  $uu'$  must also be included in  $GH(B)$  since  $uu'$  bisects the angle between  $s_\alpha$  and  $s_\beta$ , and therefore  $uu' \subseteq I$ , which is a contradiction.  $\square$

**Corollary 2.** *There is an  $O(n \log n)$  algorithm that, given sets  $R$  of red points and  $B$  of blue points in a simple polygon  $P$ , either finds a geodesic that weakly separates  $R$  and  $B$  or reports that no such geodesic exists.*

**Proof.** Computing  $GH(R)$  and  $GH(B)$  can be done in  $O(n \log n)$  time, and verifying that their interiors don't intersect can be done within the same time bound using

a line sweep algorithm. If there is a separating chord, we can find it in  $O(n \log n)$  time using the algorithm from Corollary 1. Otherwise, find  $I = GH(R) \cap GH(B)$  in  $O(n \log n)$  time using a line sweep algorithm, and extend  $I$  as explained in Theorem 2.  $\square$

**Theorem 3.** *Given sets  $R$  of red points and  $B$  of blue points in a simple polygon  $P$ , deciding whether any geodesic (or any chord) in  $P$  separates  $r$  from  $B$  requires  $\Omega(n \log n)$  time in the algebraic computation tree model.*

**Proof.** We prove the lower bound by describing a linear-time reduction from the integer set intersection problem: Given two sets  $X$  and  $Y$  of integers, determine whether any integer lies in both sets.<sup>a</sup> Yao<sup>20</sup> proved that solving this problem requires  $\Omega(n \log n)$  time in the algebraic computation tree model; the lower bound applies even if one of the sets is given in sorted order. Let  $X$  be a set of  $n$  integers, and let  $Y$  be a sorted sequence of  $n$  integers. We construct a simple polygon  $P$  with  $O(n)$  edges as follows. The polygon is a rectangle centered along the  $x$ -axis, with a thin crack of width  $1/8$ , mostly along the  $x$ -axis. For every integer  $y \in Y$ , the crack has a square bump of width  $1/2$  and height  $1$  centered at the point  $(y, 1/2)$ . Next, we transform  $Y$  into a set of  $n$  blue points  $\{(y, 1/3) \mid y \in Y\}$ . Finally, we transform  $X$  into a set of  $n + 4$  red points; a point at  $(x, 2/3)$  for each  $x \in X$ , plus two additional red points near the bottom corners of the large rectangle. The reduction can be performed in linear time in the algebraic computation tree model. If  $X$  and  $Y$  are disjoint, then all the non-corner red points are above the crack. In this case, the red and blue points can be separated by a geodesic. In fact, by making a few small adjustments to the ends of the crack, we can guarantee that there actually is a separating chord; see Figure 2.

On the other hand, if  $X$  and  $Y$  are not disjoint, then one of the bumps in the crack has both a red point  $r$  and a blue point  $b$  immediately below it. Any geodesic that separates these two points must pass below  $r$  and above  $b$ ; however, every separating geodesic is above both of the bottom corner red points. It follows that the red and blue points cannot be separated by any geodesic in  $P$ .  $\square$

### 3. Separability by non-crossing chords

We consider next a natural generalization of one of the problems studied in Section 2: to separate the two point sets using as few non-crossing chords as possible. If crossings were allowed and the points were placed closely together, the solution would consist of a minimum set of *lines* separating the sets in the plane, and finding such a set is known to be NP-hard<sup>8</sup>.

<sup>a</sup>We can avoid the restriction to integer sets by replacing the small fractions in our construction with formal infinitesimals; however, this change would limit our lower bound to algebraic *decision* trees.

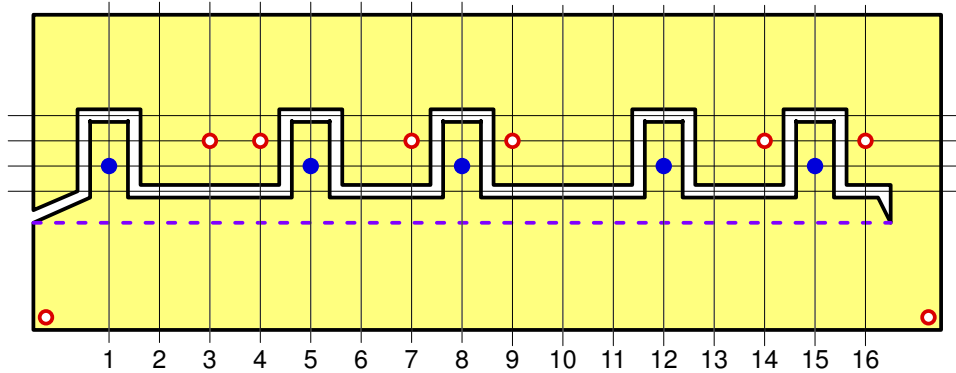


Fig. 2. The result of our reduction from  $X = \{3, 4, 7, 9, 14, 16\}$  and  $Y = \langle 1, 5, 8, 12, 15 \rangle$ .

More precisely, we say that a set of chords *weakly separates*  $R$  and  $B$  if the open regions of the polygon bounded by the chords each contain only points from at most one of  $R$  or  $B$ , but not both. A set of chords *strictly separates*  $R$  and  $B$  if the closed regions of the polygons bounded by the chords contain only points from  $R$  or  $B$  but not both. We show that the problem is polynomially solvable when  $P$  is very simple, namely a pair of parallel lines or a triangle, and becomes NP-complete when  $P$  may have holes. In between, there is an intriguing open problem on which we comment at the end of the paper.

### 3.1. Separating points inside a strip

Let  $R$  and  $B$  be sets of red and blue points in a vertical strip. We assume all points are not collinear on a vertical line. This case is easily solved, e.g. in the strict separation case by adding a horizontal chord at every color alternation. Theorem 1 implies that if  $R$  and  $B$  are separable by a chord, then they have disjoint convex hulls. In this case,  $R$  and  $B$  can be weakly separated by a chord that passes through one red point and one blue point, and this canonical separating chord can be found in  $O(n)$  time using linear programming<sup>12</sup>. In the more general case where more than one chord is required to separate the red and blue points, we define a canonical set of separating chords as follows. Say that a chord is *pinned* if it passes through a point in  $R \cup B$  and *trapped* if it passes through two points in  $R \cup B$ . A *fan* is a set of chords with a common endpoint, called its *apex*. A *canonical fan* is a fan where every chord is pinned and at least one chord is trapped. Finally, a set of chords that weakly separate  $R \cup B$  is *canonical* if it consists of a sequence of canonical fans whose apexes lie on alternate sides of the strip. See Figure 3.

**Lemma 2.** *Let  $R$  and  $B$  be sets of red and blue points in a strip. For any set of non-crossing chords that weakly separate  $R$  and  $B$ , there is a canonical set of non-crossing chords that weakly separate  $R$  and  $B$  into the same subsets.*

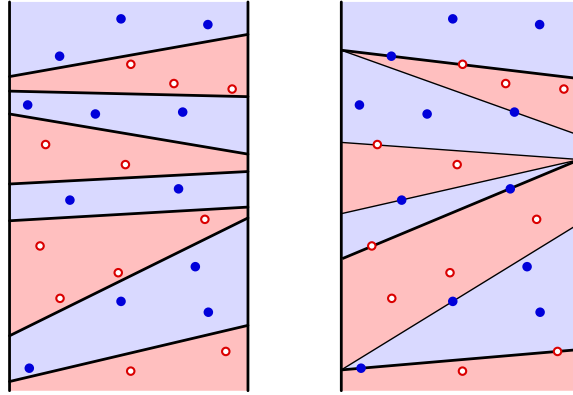


Fig. 3. Left: red and blue points in a strip, separated by non-crossing chords. Right: a canonical weak separation into the same red and blue subsets; thicker chords are trapped.

**Proof.** We describe an algorithm to make canonical any weakly-separating set  $C$  of non-crossing chords. The algorithm proceeds in two phases. In the first phase, we move each chord in turn, from lowest to highest. Each chord is translated downward as far as possible until it touches either a point in  $R \cup B$  or an endpoint of the next lower chord. In the latter case, we rotate the chord around the common endpoint until it touches a point in  $R \cup B$ . At the end of this phase, every chord is pinned; if the pinned chord contains exactly one point in  $R \cup B$ , we call that point the *pivot*.

In the second phase, the algorithm maintains an *active fan* of chords with a common endpoint on one side of the strip. The chords below the active fan (if any) belong to an alternating sequence of canonical fans; the apex of the active fan (if any) lies on the opposite side of the strip from the highest canonical fan. Initially, the active fan consists of just the lowest chord; we can choose either endpoint as the apex. We lift the apex of the active fan, maintaining contact between each chord in the fan and its pivot point, until one of the following events occurs:

- (1) The top chord in the active fan touches an endpoint of the next higher chord. In this case, we add the next higher chord to the active fan and continue.
- (2) The bottom chord in the active fan touches the apex of the next lower canonical fan. In this case, we *freeze* the lowest chord, removing it from the active fan and adding it to the next lower fan. If the active fan is now empty, we use the next higher chord as the new active fan.
- (3) A chord in the active fan touches a second point in  $R \cup B$ . (This includes the case where two chords in the fan coincide, and the case where the chord initially contains at least two colored points.) In this case, that chord is now trapped. We freeze the active fan, and the next higher chord (if any) becomes the new active fan, with its apex on the opposite side of the strip from the old active fan's apex.



The process ends when the topmost chord is frozen, at which point the entire set of chords is canonical.  $\square$

**Theorem 4.** *A minimal set of non-crossing chords that weakly separate a set of red points from a set of blue points in an infinite strip can be computed in  $O(n^5)$  time.*

**Proof.** The previous lemma implies that it is sufficient to search for a minimal *canonical* set of non-crossing chords. We compute such a set by considering all possible sequences of non-crossing *trapped* chords, using a straightforward dynamic programming algorithm. As we show below, for each such sequence, the minimum number of additional chords that must be added to weakly separate the red and blue points can be computed in linear time, after a global preprocessing stage. For any two non-crossing trapped chords  $t^-$  and  $t^+$ , where  $t^-$  is below  $t^+$ , let  $T(t^-, t^+, \text{left})$  denote the minimum number of non-crossing chords that weakly separate the red and blue points between  $t^-$  and  $t^+$ , where every chord shares either the left endpoint of  $t^-$  or the non-left endpoint of  $t^+$ . We define  $T(t^-, t^+, \text{right})$  analogously. See Figure 4.

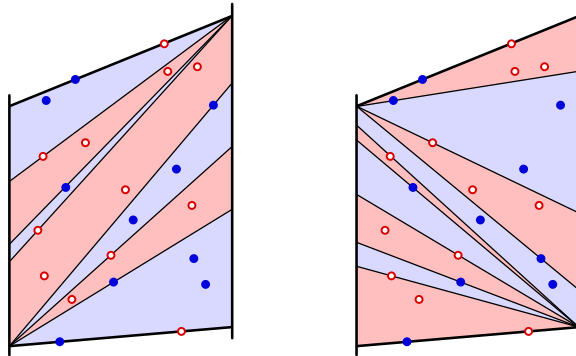


Fig. 4.  $T(t^-, t^+, \text{left}) = 6$  and  $T(t^-, t^+, \text{right}) = 8$ .

We can easily compute  $T(t^-, t^+, \text{left})$  by drawing a chord through every point in the trapezoid, either from the bottom left corner or from the top non-left corner—only one of these two chords lies entirely within the strip—and then discarding from bottom to top any chord whose removal does not create an open region containing points of both colors. With no preprocessing, this computation requires  $O(n \log n)$  time to sort points angularly around the opposite corners of the trapezoid, plus  $O(n)$  time to scan through the sorted list. We can speed this up by computing the arrangement of lines dual to  $R \cup B$  in an  $O(n^2)$ -time preprocessing phase. The angular order of  $R \cup B$  around any point  $p$  is identical to the order in which the lines dual to  $R \cup B$  intersect the line  $p^*$  dual to  $p$ ; the Zone Theorem implies that

we can compute this order in  $O(n)$  time by simply walking around the boundary of the zone of  $p^*$ . A similar algorithm computes  $T(t^-, t^+, \text{right})$  in linear time. Let  $T(t^-, t^+) = \min\{T(t^-, t^+, \text{left}), T(t^-, t^+, \text{right})\}$ .

Now for any trapped chord  $t$ , let  $C(t)$  denote the size of the minimum canonical set of chords that weakly separate the red and blue points on or above  $t$ . Here, the set is constrained to contain chord  $t$ , but  $t$  is not included in the count. Clearly,  $C(t) = 0$  if all the points above  $t$  have the same color (in particular, if there are no points above  $t$ ). Otherwise, we have the recurrence

$$C(t) = 1 + \min_{t'} (T(t, t') + C(t'))$$

where  $t'$  ranges over all trapped chords whose interior lies entirely above  $t$ . For each trapped chord  $t$ , the function  $C(t)$  depends on  $O(n^2)$  other trapped chords  $t'$ , and each  $T(t, t')$  can be evaluated in time  $O(n)$ . Thus, not counting recursion, we can compute  $C(t)$  in  $O(n^3)$  time. Since there are  $O(n^2)$  trapped chords  $t$ , we can compute  $C(t)$  for *all*  $t$  in  $O(n^5)$  time by straightforward dynamic programming. Finally, the minimum number of non-crossing chords that separate  $R$  from  $B$  is  $C(-\infty)$  where  $-\infty$  denotes a symbolic chord infinitely far below all of the points.  $\square$

Our algorithm requires only slight modifications if we desire a minimal set of chords that *strictly* separate the red and blue points, where no point in  $R \cup B$  lies on a chord. Instead of using the points themselves to define canonical chord sets, we associate each point  $p$  with two perturbed points  $p^b = p - (0, \varepsilon)$  and  $p^\sharp = p + (0, \varepsilon)$ , where  $\varepsilon$  is a symbolic infinitesimal. Now a pinned chord passes through at least one symbolic point of the form  $p^\sharp$ , but none of the form  $p^b$ . A trapped chord passes through exactly one  $p^\sharp$  and exactly one  $q^b$ , where no points of  $R \cup B$  lie on the open segment  $(p, q)$ . A chord passing through  $p^b$  and  $q^\sharp$  represents a chord that lies arbitrarily close to a chord containing  $p$  and  $q$ , but that lies strictly above  $q$  and strictly below  $p$  and contains no points of  $R \cup B$ . Notice that when several points  $p_1, \dots, p_k$  are collinear, a separating set of chords for them may result in a canonical chord set that contains several symbolic chords of the form  $p_i, p_{i+1}$ . These symbolic chords represent real chords that are each arbitrarily close to the chord through all the  $p_1, \dots, p_k$ . Nevertheless, the symbolic chords represent a sequence of distinct non-crossing real chords that can trap points from  $p_1, \dots, p_k$  between adjacent chords. The algorithm looks for sequences of symbolic chords that separate original points, but is otherwise unchanged. The running time of the algorithm remains the same: all legal trapped chords and the orderings of points on chords is recorded in  $O(n^2)$  time during the preprocessing phase.

### 3.2. Separating points in a triangle

Now suppose the points lie inside a triangle. If the optimal set of separating chords has a simple linear structure, then a straightforward generalization of our strip algorithm can find it in  $O(n^5)$  time—we simply treat two edges of the triangle as one

side of the “strip”, with the third edge forming the other side. However, the optimal separating set could have a tree-like structure instead, with a single central region bounded by three chords and three (possibly empty) subsets of triangle edges. In this case, more effort is required, in part because we cannot assume that any of these three chords passes through two points. Figure 5 shows a set of red and blue points separated by three non-crossing chords; if we require some chord to pass through two points, then at least four chords are required. To find an optimal solution of

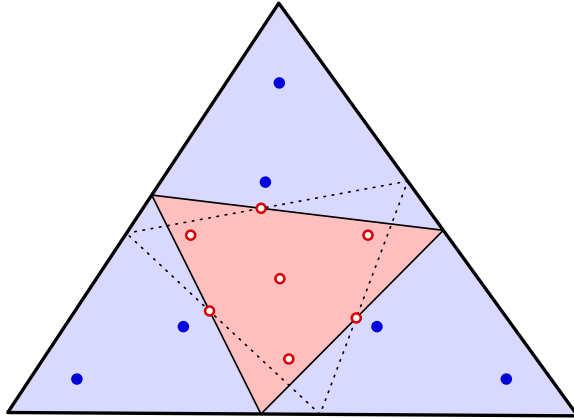


Fig. 5. Separating points in a triangle. There is no separating set of three chords where one chord hits points of both colors.

this form, we must modify our definition of “canonical” separating sets. We still require that the chords comprise three sequences of alternating fans, where each fan contains either a trapped chord or a bounding chord of the central region. The central region is bounded by three chords, which can be either trapped or merely pinned. However, any pinned chord must share an endpoint with an adjacent chord, and two pinned chords can only share an endpoint if all three central chords are pinned and form a triangle, as in Figure 5.

**Theorem 5.** *A minimal set of non-crossing chords that weakly separate a set of red points from a set of blue points in a triangle can be computed in  $O(n^6)$  time.*

**Proof.** The algorithm begins by computing the optimal strip-like solution in  $O(n^5)$  time, and only then considers tree-like solutions. There are  $O(n^3)$  pinned triangles. We can compute the optimal decomposition outside any pinned triangle in  $O(n^3)$  time, by determining the trapped chord closest to each pinned triangle edge; the best decomposition beyond that trapped chord was already computed during the strip-like phase of the algorithm. To handle the case where the central region has a trapped bounding chord, we introduce a pair of *ghost* chords. These ghost chords form a triangle with the trapped chord, and exactly one of the ghost chords passes

through an input point. There are  $O(n^3)$  ghost chords, and we can compute the optimal decomposition outside each ghost chord in  $O(n^3)$  time, exactly as we did for trapped triangle edges. (The ghost chords do not actually contribute to the cost of the solution.) Finally, for any trapped chord, we can find the best pair of ghost chords in  $O(n)$  time.  $\square$

For any constant  $t$ , a similar dynamic programming algorithm can be used to separate red and blue points in any simple  $t$ -gon, or any polygon with holes with a total of  $t$  edges, in time  $n^{O(t)}$ . As  $t$  increases, the algorithm considers chords determined by larger subsets of input points. Since the algorithm is inefficient even for very small values of  $t$ , we omit further details.

### 3.3. Polygons with holes

**Theorem 6.** *Finding the minimal number of non-intersecting chords that separate blue from red points in a polygon with holes is NP-hard.*

**Proof.** Let  $Exp(x)$  be a boolean expression in conjunctive normal form with  $n$  variables and  $m$  clauses such that each clause has three literals. Let  $G_{Exp}$  be the graph  $(V, E)$ , where  $V$  consists of the variables and clauses of  $Exp(x)$ , and  $(x_i, c_j) \in E$  if and only if variable  $x_i$  occurs in clause  $c_j$ . If  $G_{Exp}$  is planar, then deciding whether there is an assignment of true and false values for  $x$  such that  $Exp(x)$  is true is known as the *planar 3SAT problem*. If we also require that each clause has exactly one true literal, the problem is known as *planar 1-in-3SAT*. Larocche<sup>11</sup> proved that planar 1-in-3SAT is NP-complete. We prove our theorem by describing a polynomial-time reduction from this problem.

We first show how to create a polygon  $P$  and a set of blue and red points from a planar embedding of the graph  $G_{Exp}$ . Figure 6 shows the encoding of a variable. We imagine that the boundary of the variable gadget is oriented clockwise. The *inside* of the variable is the connected portion of the boundary that bounds a hole; the remainder of the boundary is the *outside*. There are exactly two minimal sets of chords that separate the blue and red points within each variable gadget; these correspond to assigning the values true and false to the variable. The true setting consists of chords that from the inside of the variable gadget go in clockwise direction across to the outside; in the false setting, the chords are oriented counterclockwise. Figure 7 shows two close-ups of part of a variable, one set to true and one set to false. We assume that the true and false settings each consist of  $k$  chords. Notice that any other set of chords that separate the red and blue points in a variable gadget requires more than  $k$  chords.

Clauses are encoded as equilateral triangles that meet their three variables in the corners as shown in Figure 8. A bump is placed on each side of a triangle to prevent chords from intersecting more than one variable gadget. A variable gadget meets a clause gadget on its outside boundary. At the place where they touch, there is a

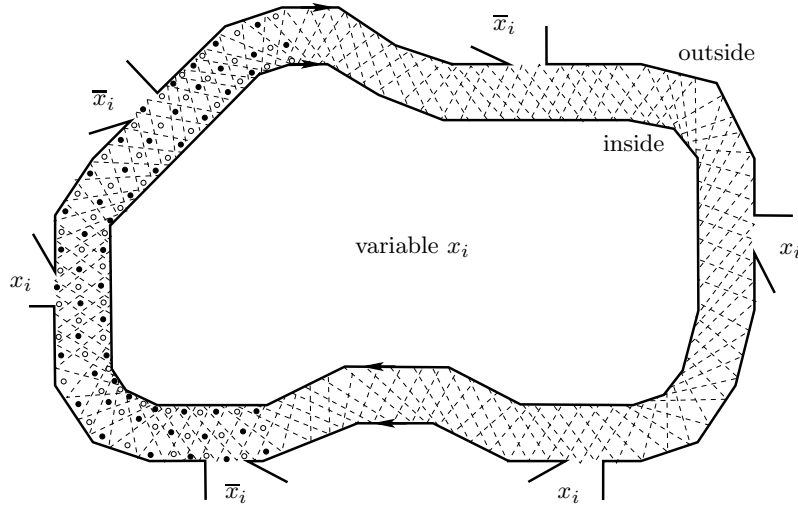


Fig. 6. A variable contained in six clauses; black and white dots represent blue and red points respectively.

little connection between the variable and the clause. If a clause contains a variable  $x_i$ , then the gadget for variable  $x_i$  approaches the triangle with an angle of  $30^\circ$ . If a clause contains  $\bar{x}_i$ , then the gadget for variable  $x_i$  approaches the triangle with an angle of  $90^\circ$ . Since  $G_{Exp}$  is planar, the variable and clause gadgets can be connected to form a polygon  $P$  with holes.

Notice that if the gadget of  $x_i$  is set to true in a clause containing  $x_i$ , or if the gadget of  $x_i$  is set to false in a clause containing  $\bar{x}_i$ , then two parallel chords from  $x_i$ 's gadget cross the triangle. These two parallel chords separate the three blue points in the center of triangle from the remaining red points in the triangle.

We now show that  $Exp(x)$  can be satisfied with exactly one true literal per clause if and only if the blue and red points in  $P$  can be separated by exactly  $kn$  non-intersecting chords.

First, suppose  $Exp(x)$  can be satisfied with exactly one true literal per clause. We can separate the blue from the red points in each variable  $x_i$  with  $k$  chords, depending on the truth value of  $x_i$ . Since each clause has exactly one true literal, only the corresponding variable has two parallel chords that pass through the triangle. So we have separated all blue from all red points in the polygon using  $kn$  chords.

On the other hand, suppose  $kn$  chords suffice to separate the blue and red points. Each variable requires at least  $k$  separating chords, and the shape of the clause gadget imposes the constraint that chords intersect no more than one variable gadget. This implies that there are exactly  $k$  chords per variable. Therefore each variable is set to true or false. The blue points at the center of each clause gadget are separated from the red points in that gadget, which implies that each clause

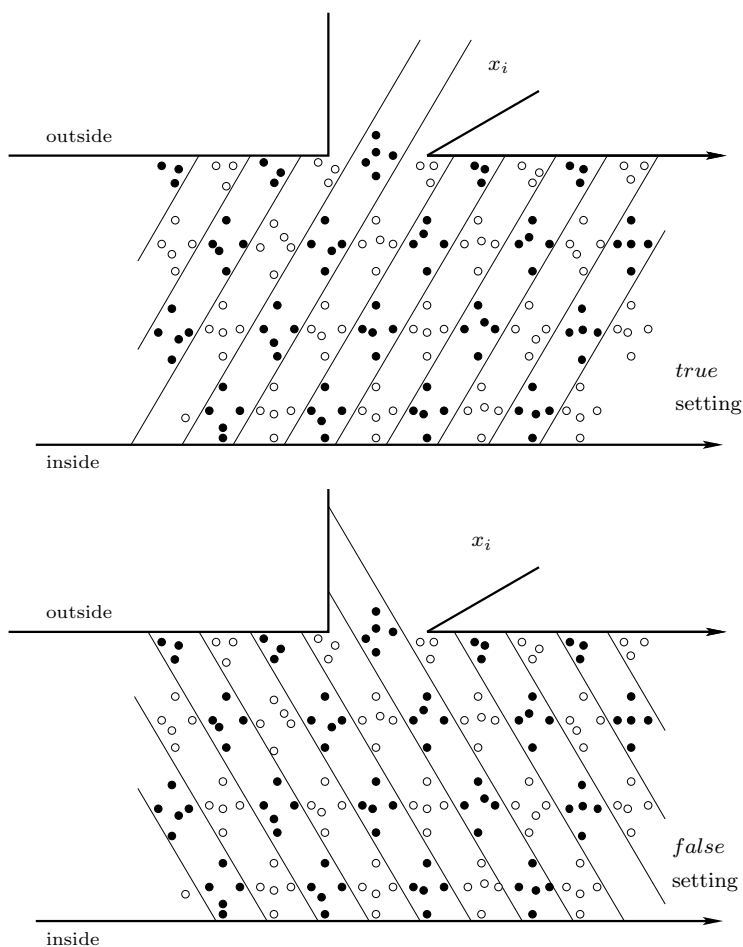


Fig. 7. True and false settings of a variable.

contains exactly one true literal.

We still have to show that the size of the number of edges of the polygon and the number of points inside is polynomial in  $n$  and  $m$ . Since  $G_{Exp}$  is planar, it has a planar embedding with straight line segments on an  $O(n) \times O(n)$  unit grid<sup>6,15</sup>. Thus the length of each edge is  $O(n + m)$ . Break each edge near the clause endpoint so that the incoming edges of each clause form angles of 120 degrees. The clause vertices are then easy to draw using a tiny clause gadget with the openings aligned with incoming edges. The variable vertices are represented by a point in the hole of the variable gadget, and the interior part of the variable is wrapped around the edges in clockwise order. The width of the variable, i.e. the distance between the inside and outside boundaries, is a small constant  $< 1$ . The number of edges of the polygon is  $O(n + m)$ , and the length of every edge is  $O(n + m)$ . The number of

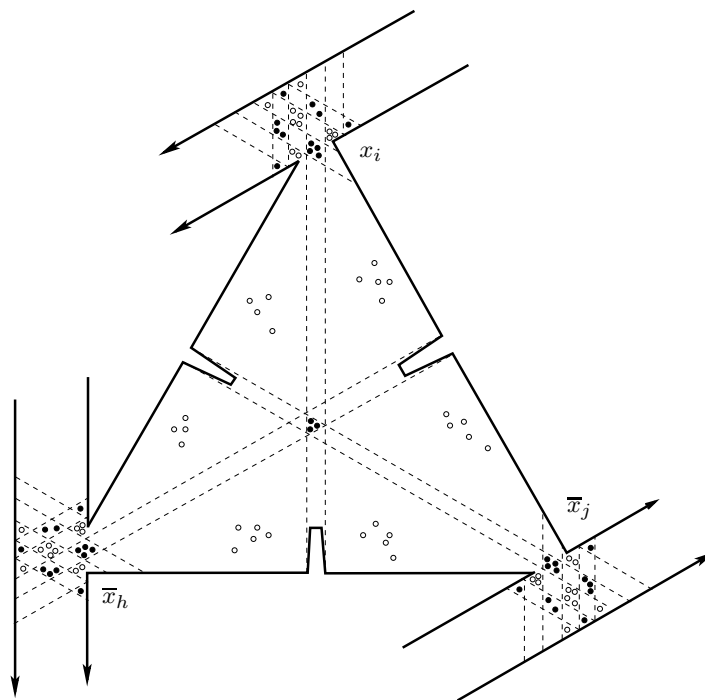


Fig. 8. The clause  $(\bar{x}_h \vee x_i \vee \bar{x}_j)$ .

points in every edge is proportional to the length of the edge, so the total number of points is  $O((n + m)^2)$ .  $\square$

We conclude this section by remarking that between the results described in Theorems 4, 5 and 6 there is a gap that raises an intriguing question:

Open Problem 1. What is the complexity of finding a minimal set of non-crossing chords that weakly separate two point sets contained in the interior of

- (a) a convex  $k$ -gon? (with  $k$  as part of the input)
- (b) a disk?
- (c) a simple polygon?

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