

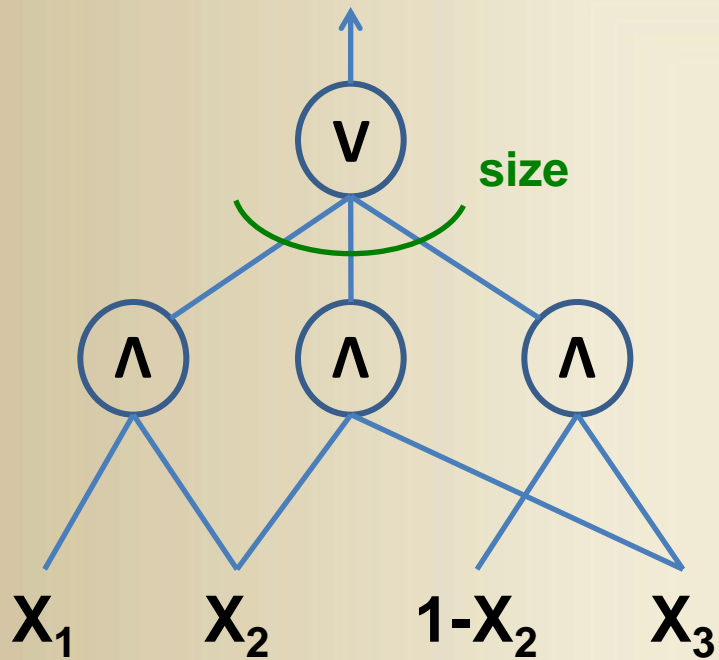
On the Complexity of DNF of Parities

Igor Shinkar
(NYU)

Joint work with Gil Cohen
(Caltech)

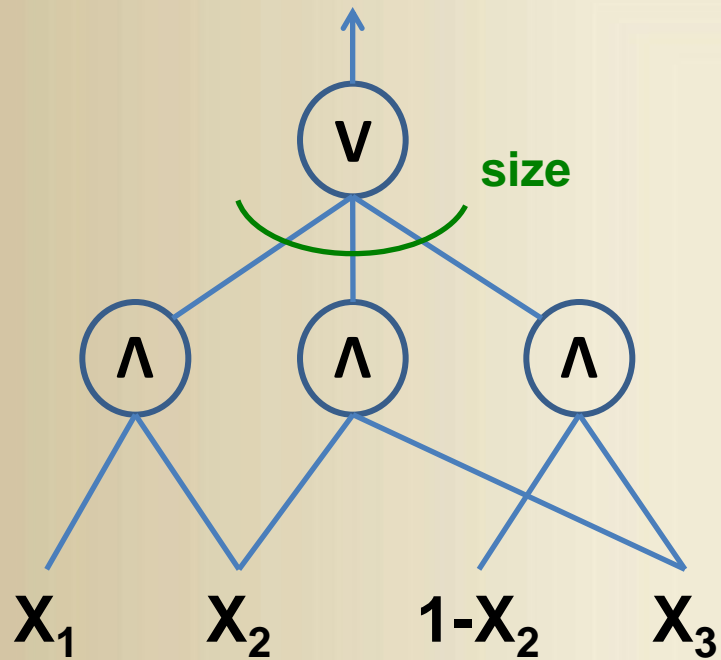
DNF and DNF₊

DNF

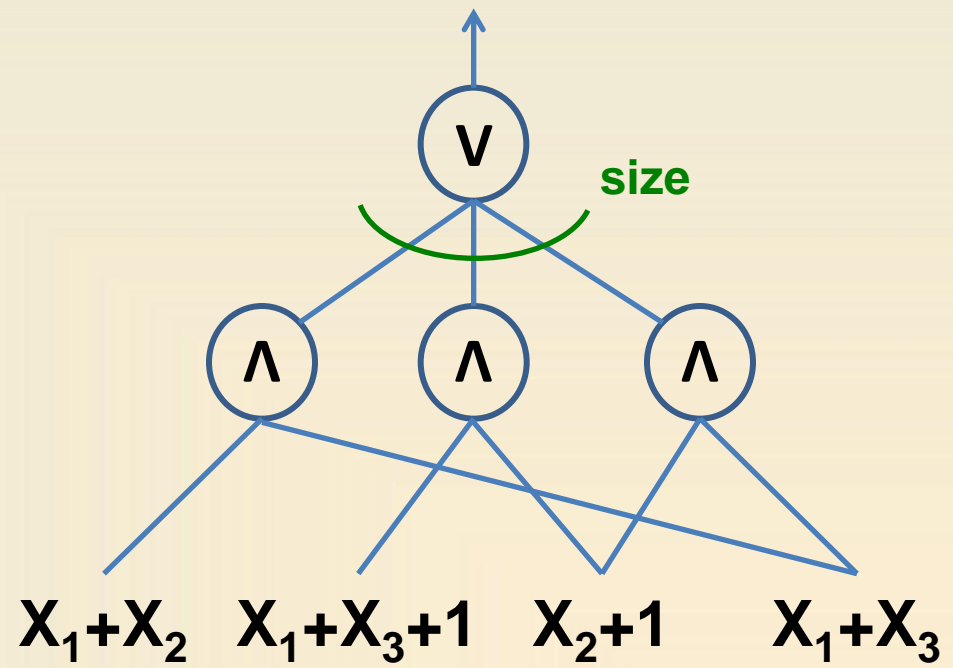


DNF and DNF₊

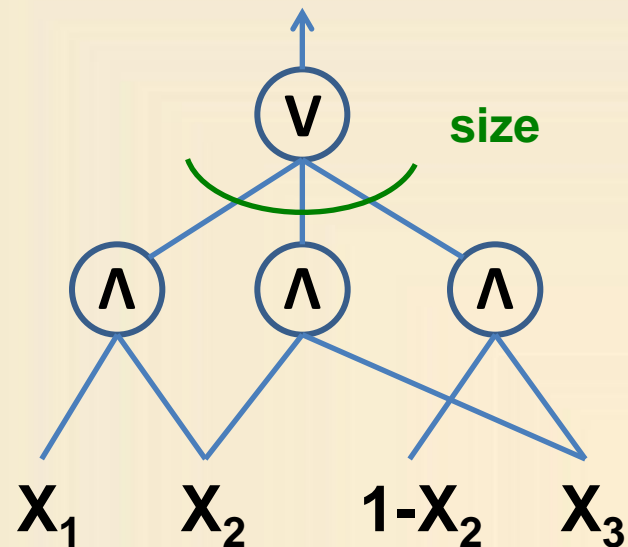
DNF



DNF₊

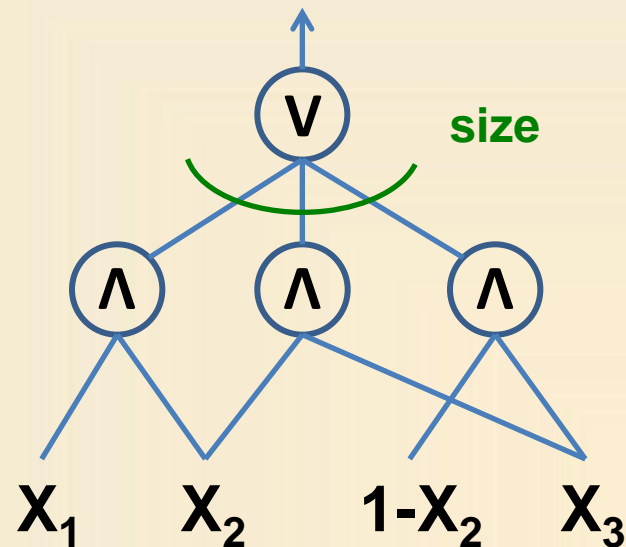


Accepting inputs of a DNF formula



Accepting inputs of a DNF formula

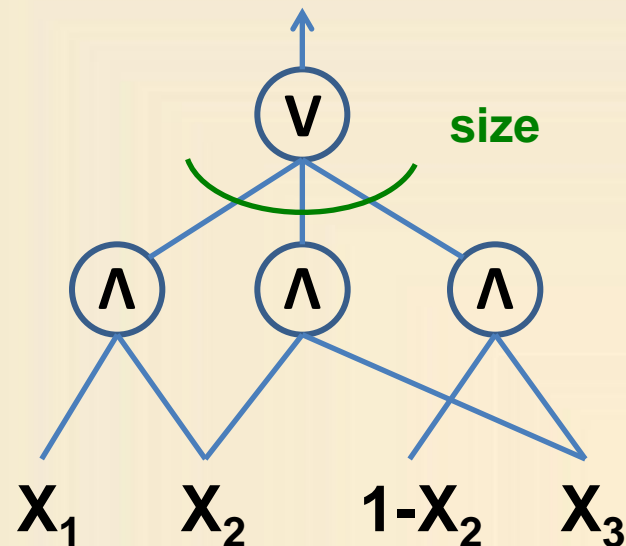
Each AND gate is an indicator of a *subcube* (e.g., defined by $X_2=0$ $X_3=1$).



Accepting inputs of a DNF formula

Each AND gate is an indicator of a *subcube* (e.g., defined by $X_2=0$ $X_3=1$).

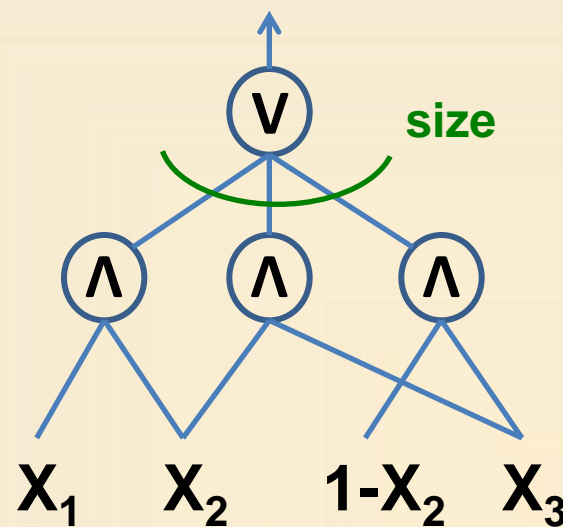
→ DNF is an indicator of a union of subcubes.



DNF-size of a boolean function

Definition: Let $f: \{0,1\}^n \rightarrow \{0,1\}$

$\text{sizeDNF}(f)$ = minimal size of a DNF computing f .

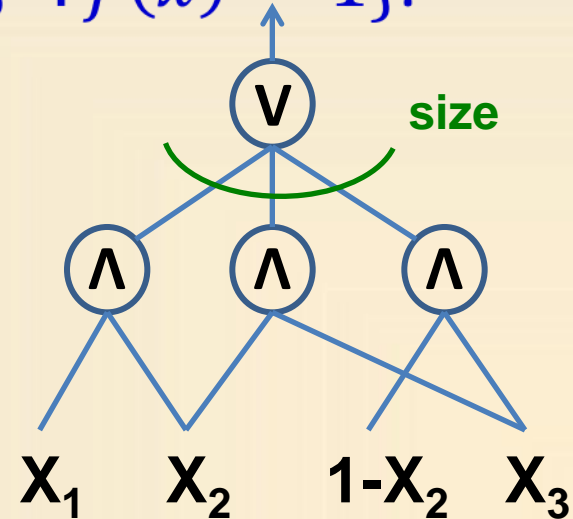


DNF-size of a boolean function

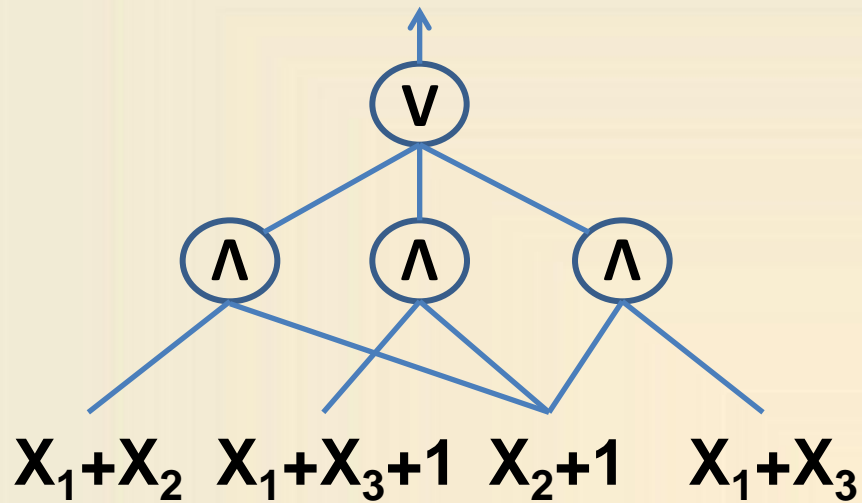
Definition: Let $f: \{0,1\}^n \rightarrow \{0,1\}$

$\text{sizeDNF}(f) = \text{minimal size of a DNF computing } f.$

Equivalently, it is the *minimal number of subcubes* needed to cover the set $\{x \in \{0,1\}^n: f(x) = 1\}$.

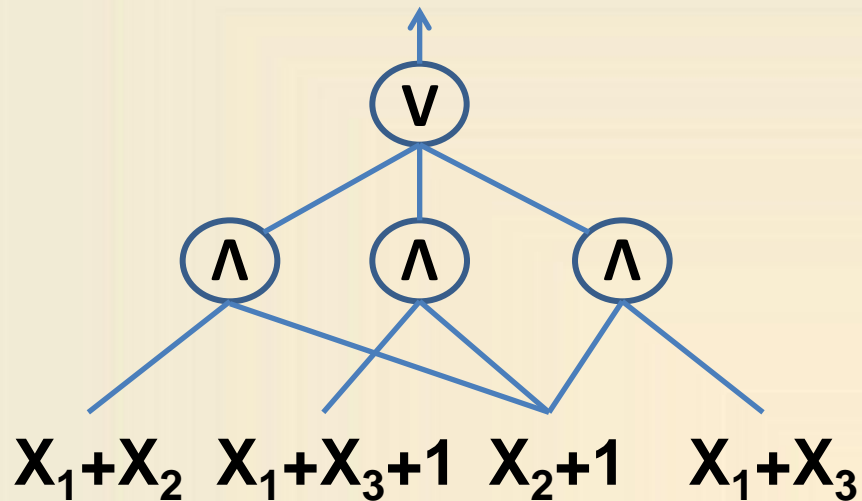


Accepting inputs of a DNF_+ formula



Accepting inputs of a DNF_+ formula

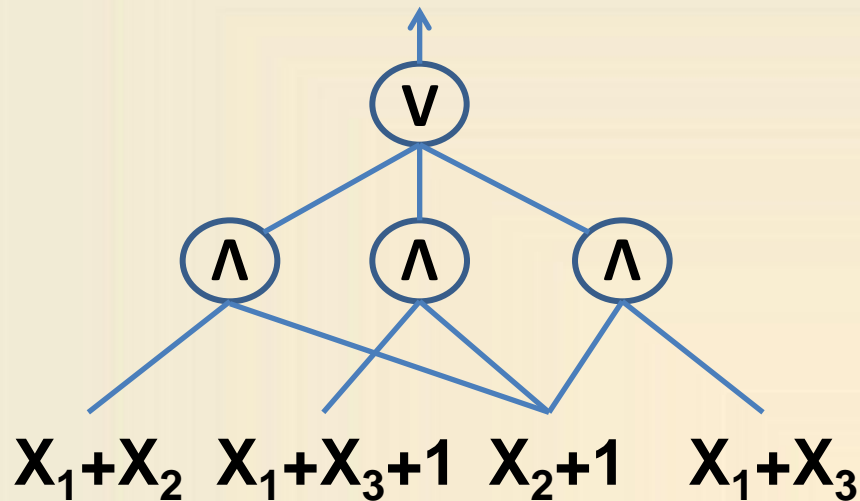
Each AND gate is an indicator of a *subspace*.



Accepting inputs of a DNF_+ formula

Each AND gate is an indicator of a *subspace*.

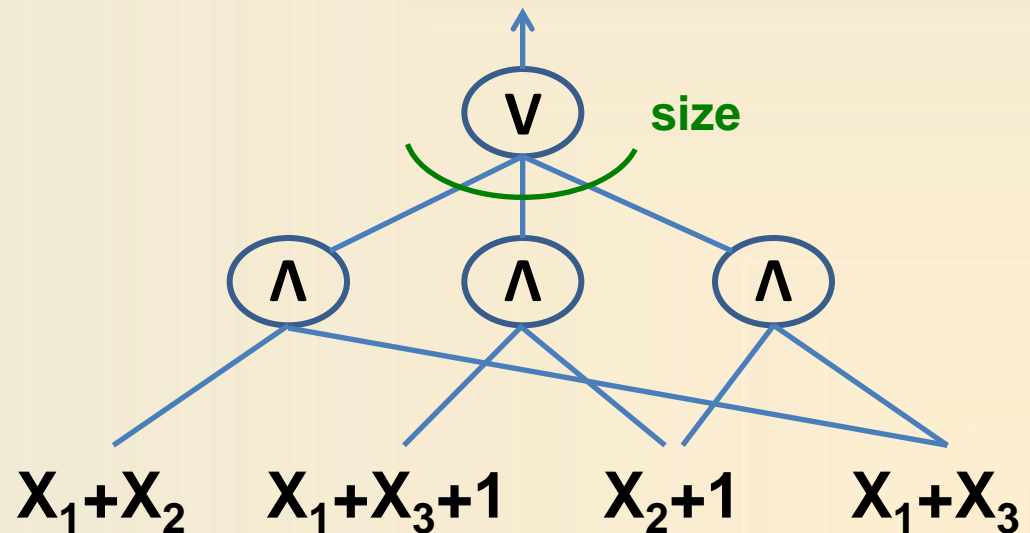
→ DNF_+ is an indicator of a union of subspaces.



DNF₊ size of a boolean function

Definition: Let $f: \{0,1\}^n \rightarrow \{0,1\}$

$\text{sizeDNF}_+(f)$ = minimal size of a DNF₊ computing f .

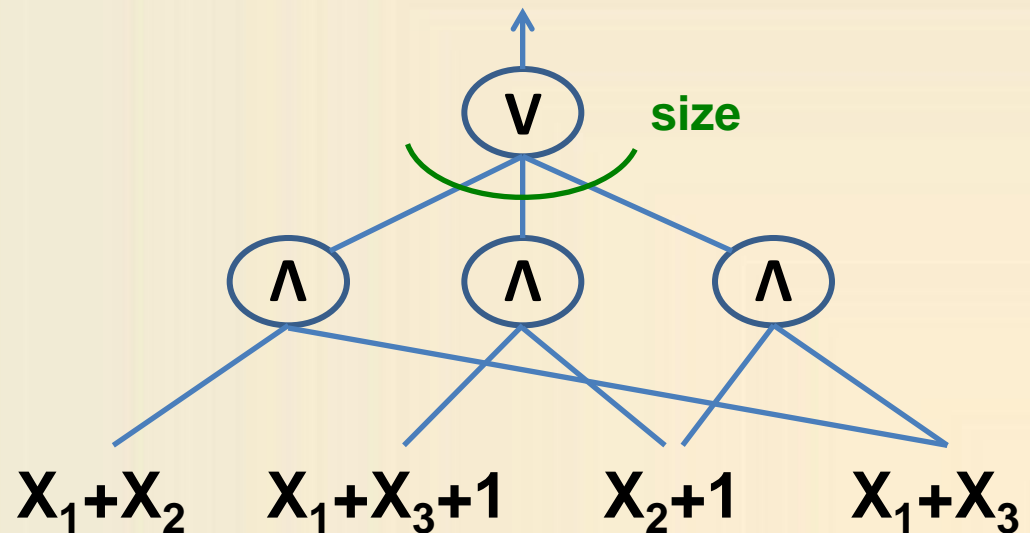


DNF₊ size of a boolean function

Definition: Let $f: \{0,1\}^n \rightarrow \{0,1\}$

$\text{sizeDNF}_+(f)$ = minimal size of a DNF₊ computing f .

Equivalently, it is the minimal number of *subspaces* needed to cover the set $\{x \in \{0,1\}^n : f(x) = 1\}$.



Is DNF_+ stronger than DNF ?

Is DNF_+ stronger than DNF?

The obvious example: XOR function

$$\text{sizeDNF}(XOR_n) = 2^{n-1} \quad \text{sizeDNF}_+(XOR_n) = 1$$

Is DNF_+ stronger than DNF ?

What about the **Majority** function?

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

***The size of the middle layer
of the hypercube***

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

*The size of the middle layer
of the hypercube*

Upper bound:

*For each point in the middle layer
take the subcube above it*

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

*The size of the middle layer
of the hypercube*

Upper bound:

*For each point in the middle layer
take the subcube above it*

Lower bound:

*Each point in the middle layer
must be in a different subcube*

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Question: What is $sizeDNF_+(Majority_n)$?

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Question: What is $sizeDNF_+(Majority_n)$?

Theorem: $sizeDNF_+(Majority_n) \leq poly(n) \cdot 2^{n/2}$.

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Question: What is $sizeDNF_+(Majority_n)$?

Theorem: $sizeDNF_+(Majority_n) \leq poly(n) \cdot 2^{n/2}$.

Quadratically smaller than $sizeDNF$.

Is DNF_+ stronger than DNF?

What about the **Majority** function?

Fact: $sizeDNF(Majority_n) = \binom{n}{(n+1)/2} \approx \frac{2^n}{\sqrt{n}}$.

Question: What is $sizeDNF_+(Majority_n)$?

Theorem: $sizeDNF_+(Majority_n) \leq poly(n) \cdot 2^{n/2}$.

Tight up to $poly(n)$ factor

DNF₊ complexity of symmetric functions

Theorem 1: $\text{sizeDNF}_+(Majority_n) \leq \text{poly}(n) \cdot 2^{n/2}$.

Quadratically smaller than sizeDNF

DNF₊ complexity of symmetric functions

Theorem 1: $\text{sizeDNF}_+(Majority_n) \leq \text{poly}(n) \cdot 2^{n/2}$.

Quadratically smaller than sizeDNF

Theorem 2: For every symmetric $f: \{0,1\}^n \rightarrow \{0,1\}$
 $\text{sizeDNF}_+(f) \leq \text{poly}(n) \cdot 1.5^n$.

Compare to $\text{sizeDNF}(XOR) = 2^{n-1}$

A general upper bound on DNF_+ complexity

Theorem 3: For every $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) = O(2^n/n)$.

A general upper bound on DNF_+ complexity

Theorem 3: For every $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) = O(2^n/n)$.

*Smaller than $sizeDNF(XOR)$
by $O(n)$ factor*

A general upper bound on DNF_+ complexity

Theorem 3: For every $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) = O(2^n/n)$.

Almost tight:

Affine dispersers for dimension $O(\log(n))$ require

$sizeDNF(f) \geq 2^n / poly(n)$.

More results in the paper...

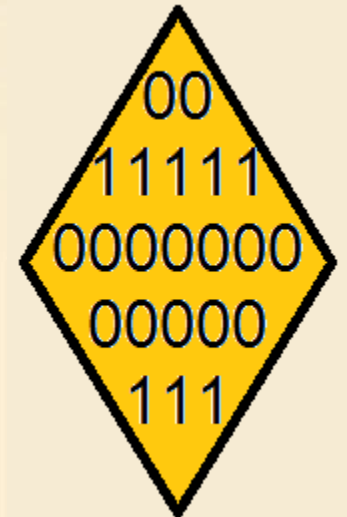
Some proof sketches:

DNF₊ complexity of symmetric functions

Theorem 2: For every symmetric $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) \leq poly(n) \cdot 1.5^n$.

DNF₊ complexity of symmetric functions

Theorem 2: For every symmetric $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) \leq poly(n) \cdot 1.5^n$.

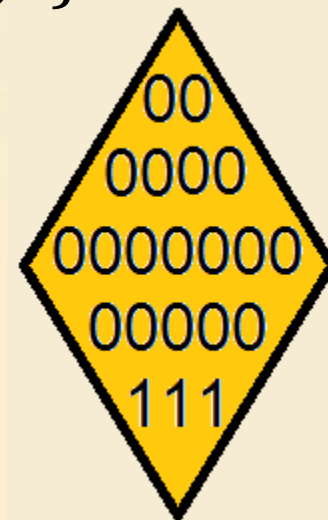


DNF₊ complexity of symmetric functions

Theorem 2: For every symmetric $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) \leq poly(n) \cdot 1.5^n$.

Proof: Let $k \in \{0,1, \dots, n\}$.

Let g_k be the indicator of the k 'th layer of $\{0,1\}^n$.



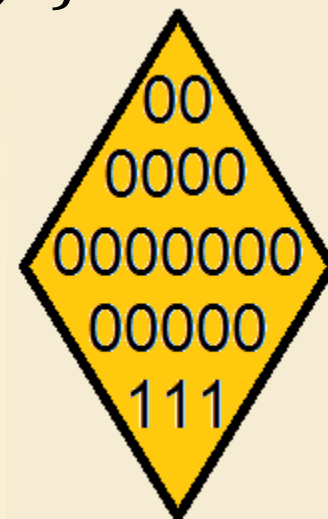
DNF₊ complexity of symmetric functions

Theorem 2: For every symmetric $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) \leq poly(n) \cdot 1.5^n$.

Proof: Let $k \in \{0,1, \dots, n\}$.

Let g_k be the indicator of the k 'th layer of $\{0,1\}^n$.

It is enough to prove the theorem for g_k .



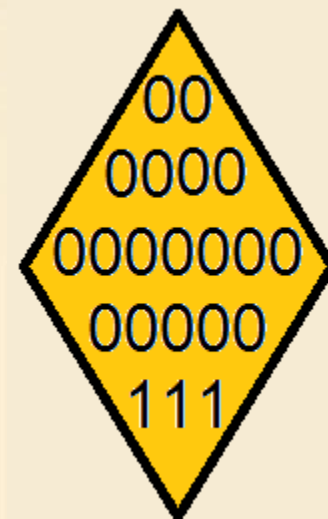
DNF₊ complexity of symmetric functions

Theorem 2': Let $k \in \{0, 1, \dots, n/2\}$.

Let g_k be the indicator of the k 'th layer of $\{0, 1\}^n$. Then

$$\text{sizeDNF}_+(g_k) \leq \text{poly}(n) \cdot 2^{(H(p)-p)n},$$

where $p = \frac{k}{n} \in [0, 0.5]$.



DNF₊ complexity of symmetric functions

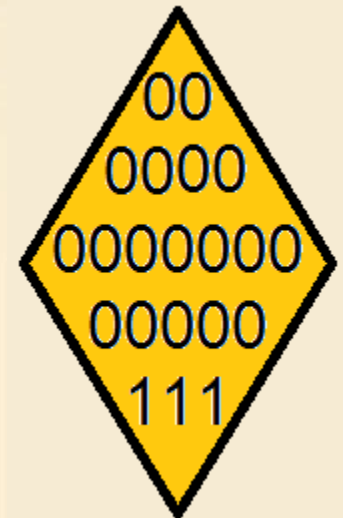
Theorem 2': Let $k \in \{0, 1, \dots, n/2\}$.

Let g_k be the indicator of the k 'th layer of $\{0, 1\}^n$. Then

$$\text{sizeDNF}_+(g_k) \leq \text{poly}(n) \cdot 2^{(H(p)-p)n},$$

where $p = \frac{k}{n} \in [0, 0.5]$.

Fact: $2^{(H(p)-p)n} \leq 1.5^n$ for all $p \in [0, 0.5]$.



DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

Proof:

DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

Proof:

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

Proof:

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

One subspace covers $2^{n/2}$ points

DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

Proof:

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

DNF₊ complexity of symmetric functions

Theorem 2' [special case of $k = n/2$]:

Let $g_{n/2}$ be the indicator of the *middle* layer of $\{0,1\}^n$.

Then

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}.$$

Proof:

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

Step 2: Permute the coordinates and find $\sim n2^{n/2}$ subspaces that together cover the entire middle layer.

DNF₊ complexity of symmetric functions

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

DNF₊ complexity of symmetric functions

Step 1: Find an affine subspace V of $\dim(V) = n/2$ such that V is contained in the middle layer of $\{0,1\}^n$.

$$\text{Define } V = \{x \in \{0,1\}^n : \left. \begin{array}{l} x_1 + x_2 = 1 \\ x_3 + x_4 = 1 \\ \dots \\ x_{n-1} + x_n = 1 \end{array} \right\}$$

DNF₊ complexity of symmetric functions

Step 2: Permute the coordinates.

DNF₊ complexity of symmetric functions

Step 2: Permute the coordinates.

Pick a random permutation $\sigma \in S_n$

$$\text{Define } V_\sigma = \left\{ x \in \{0,1\}^n : \begin{array}{l} x_{\sigma(1)} + x_{\sigma(2)} = 1 \\ x_{\sigma(3)} + x_{\sigma(4)} = 1 \\ \dots \\ x_{\sigma(n-1)} + x_{\sigma(n)} = 1 \end{array} \right\}$$

DNF₊ complexity of symmetric functions

Completing the proof:

For a random $\sigma \in S_n$ every x is contained in V_σ with probability

$$\Pr[x \in V_\sigma] = \frac{2^{n/2}}{\binom{n}{n/2}} \approx 2^{-n/2}$$

DNF₊ complexity of symmetric functions

Completing the proof:

For a random $\sigma \in S_n$ every x is contained in V_σ with probability

$$\Pr[x \in V_\sigma] = \frac{2^{n/2}}{\binom{n}{n/2}} \approx 2^{-n/2}$$

Taking $n2^{n/2}$ random permutations will cover all x 's with high probability. Therefore

$$\text{sizeDNF}_+(g_{n/2}) \leq n \cdot 2^{n/2}$$

A general upper bound on DNF_+ complexity

Theorem 3: For every $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) = O(2^n/n)$.

A general upper bound on DNF_+ complexity

Theorem 3: For every $f: \{0,1\}^n \rightarrow \{0,1\}$
 $sizeDNF_+(f) = O(2^n/n)$.

Proof: Follows immediately from the following claim:

Claim: Let $A \subset \{0,1\}^n$ of size $|A| = \epsilon 2^n$ ($\epsilon > 2^{-n/4}$).
Then, A contains an affine subspace V of dimension
 $\dim(V) > \log(n) - \log \log 1/\epsilon - 2$.

A general upper bound on DNF_+ complexity

Claim: Let $A \subset \{0,1\}^n$ of size $|A| = \epsilon 2^n$ ($\epsilon > 2^{-n/4}$).

Then, A contains an affine subspace V of dimension
 $\dim(V) > \log(n) - \log \log 1/\epsilon - 2.$

A general upper bound on DNF_+ complexity

Claim: Let $A \subset \{0,1\}^n$ of size $|A| = \epsilon 2^n$ ($\epsilon > 2^{-n/4}$).

Then, A contains an affine subspace V of dimension
 $\dim(V) > \log(n) - \log \log 1/\epsilon - 2$.

Proof:

Gowers-Cauchy-Schwartz inequality.

$$\Pr[x + \text{Span}(y_1, \dots, y_d) \subset A] > \epsilon^{2^d}$$

Open problems

1. Give an explicit $f: \{0,1\}^n \rightarrow \{0,1\}$ such that a DNF_+ circuit that ϵ -approximates f must be of size at least 1.1^n .
2. Can small DNF_+ approximate an affine extractor?

Thank You