Lecture 19
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## 1 Administrative Issues

- Project presentations in approximately 2 weeks from today.
- Report due in around 12 days.


## 2 Today

- Multiple Access Channels
- "Correlated Source Coding" a.k.a. Slepian-Wolf Theorem


## 3 Structure For Report/Presentation

- "Problem in English"
- Motivation - Why is this problem considered?
- Formal Model
- Theorem - Result - without going into the rigour at this point. At this point we've surpassed the attention span of most people in the audience.
- How? - Construction and Analysis (for the few who are still listening)


## 4 Multiple Access Channels



Figure 1: The Model

The multiple access channel is characterized by the input alphabets, $\Omega_{X_{1}}$ and $\Omega_{X_{2}}$, the output alphabet, $\Omega_{Y}$, and the transition probabilities, $p_{Y \mid\left(X_{1}, X_{2}\right)}$. We studied some specific channels in the last lecture, e.g. $Y=X_{1}+X_{2}+Z \bmod 2$, where all the alphabets were $\Omega=\{0,1\}$.

Def (Operational): The rates $\left(R_{1}, R_{2}\right)$ is achievable if $\exists$ encoding functions $X_{1}:\left\{1, \ldots, 2^{n R_{1}}\right\} \rightarrow$ $\left(\Omega_{X_{1}}\right)^{n}, X_{2}:\left\{1, \ldots, 2^{n R_{2}}\right\} \rightarrow\left(\Omega_{X_{2}}\right)^{n}$ and decoding function $D:\left(\Omega_{Y}\right)^{n} \rightarrow\left\{1, \ldots, 2^{n R_{1}}\right\} \times\left\{1, \ldots, 2^{n R_{2}}\right\}$ such that $P_{\text {error }} \rightarrow 0$ as $n \rightarrow \infty$, meaning when the messages $W_{1} \in_{u}\left\{1, \ldots, 2^{n R_{1}}\right\}$ and $W_{2} \in_{u}$ $\left\{1, \ldots, 2^{n R_{2}}\right\}$ are chosen independently, and we have $\left(W_{1}, W_{2}\right) \rightarrow\left(X_{1}\left(W_{1}\right), X_{2}\left(W_{2}\right)\right) \rightarrow Y \rightarrow\left(\widehat{W}_{1}, \widehat{W}_{2}\right)$,
then $\mathbb{P}\left[\left(W_{1}, W_{2}\right) \neq\left(\widehat{W}_{1}, \widehat{W}_{2}\right)\right] \rightarrow 0$ as $n \rightarrow \infty$.
Def (Basic Achievable): The rates $\left(R_{1}, R_{2}\right)$ is basic achievable if $\exists$ distributions $p_{X_{1}}, p_{X_{2}}$ with $\left(X_{1}, X_{2}\right) \sim p_{X_{1}} p_{X_{2}}$, such that

$$
\begin{align*}
R_{1} & \leq I\left(X_{1} ; Y \mid X_{2}\right)  \tag{1}\\
R_{2} & \leq I\left(X_{2} ; Y \mid X_{2}\right)  \tag{2}\\
R_{1}+R_{2} & \leq I\left(X_{1}, X_{2} ; Y\right) \tag{3}
\end{align*}
$$

$\operatorname{Thm}($ Capacity $):\left(R_{1}, R_{2}\right)$ is achievable if and only if it lies in the convex hull of the basic achievable rates $\left(\tilde{R}_{1}, \tilde{R}_{2}\right)$.

Def (Convex Hull): Given $\left(R_{1}^{(1)}, R_{2}^{(1)}\right), \ldots,\left(R_{1}^{(k)}, R_{2}^{(k)}\right)$, the convex hull of these points are the points, $\left(R_{1}, R_{2}\right)$ that can be written as:

$$
\begin{aligned}
& R_{1}=\sum_{i=1}^{k} \lambda_{i} R_{1}^{(i)} \\
& R_{2}=\sum_{i=1}^{k} \lambda_{i} R_{2}^{(i)}
\end{aligned}
$$

where $\left\{\lambda_{1}, \ldots, \lambda_{k}: \lambda_{j} \geq 0, \sum_{j} \lambda_{j}=1\right\}$. Examples can be seen in Fig. 2


Figure 2: Examples of Convex Hulls: (a) with rates (b) in the plane

In other words, the theorem says $\left(R_{1}, R_{2}\right)$ is achievable if and only if $\exists\left(R_{1}^{(1)}, R_{2}^{(1)}\right), \ldots,\left(R_{1}^{(k)}, R_{2}^{(k)}\right)$ basic achievable rates such that $R_{1}=\sum_{i=1}^{k} \lambda_{i} R_{1}^{(i)}$ and $R_{2}=\sum_{i=1}^{k} \lambda_{i} R_{2}^{(i)}$ with $\left\{\lambda_{1}, \ldots, \lambda_{k}: \lambda_{j} \geq\right.$ $\left.0, \sum_{j} \lambda_{j}=1\right\}$.

## Proof:

Achievability: We need

- Basic achievable pairs are achievable (shown via random coding and typical set decoding)
- Convex combinations are achievable (follows from a time-sharing argument)

Let $X_{1}\left(W_{1}\right)_{i} \sim p_{X_{1}}$ i.i.d. over $W_{1}, i$ and $X_{2}\left(W_{2}\right)_{i} \sim p_{X_{2}}$ i.i.d. over $W_{2}, i$. Decoding function $D(Y)$ outputs $\left(W_{1}, W_{2}\right)$ if $\exists!\left(W_{1}, W_{2}\right)$ such that $\left(X_{1}\left(W_{1}\right), X_{2}\left(W_{2}\right), Y\right)$ are jointly typical, else it outputs error.

When transmitting $\left(W_{1}, W_{2}\right)$ a decoding error occurs when $\left(W_{1}^{\prime}, W_{2}^{\prime}\right) \neq\left(W_{1}, W_{2}\right)$ or the decoder outputs error:

- $\left(X_{1}\left(W_{1}\right), X_{2}\left(W_{2}\right), Y\right)$ is not jointly typical (by AEP the probability of this event $\rightarrow 0$ as $\left.n \rightarrow \infty\right)$.
- For $W_{1}^{\prime}=W_{1}, W_{2}^{\prime} \neq W_{2}$ (for fixed $W_{1}, W_{2}$ ), by joint AEP methods

$$
\mathbb{P}\left[\left(X_{1}\left(W_{1}\right), X_{2}\left(W_{2}^{\prime}\right), Y\right) \text { is jointly typical }\right] \leq 2^{-n I\left(X_{2} ;\left(X_{1}, Y\right)\right)}
$$

Thus the transmission will work if $R_{2} \leq I\left(X_{2} ;\left(X_{1}, Y\right)\right)=I\left(X_{2} ; X_{1}\right)+I\left(X_{2} ; Y \mid X_{1}\right)=I\left(X_{2} ; Y \mid X_{1}\right)$. The last step follows since $X_{1}$ and $X_{2}$ are independent.

- Similar cases (i.e. $W_{1}^{\prime} \neq W_{1}, W_{2}^{\prime}=W_{2}$ and $W_{1}^{\prime} \neq W_{1}, W_{2}^{\prime} \neq W_{2}$ ) use similar inequalities.

Converse: Rigorous proof is omitted. But this follows from looking at the MAC in different ways:
Looking at the MAC (Fig. 1) as a classical channel, i.e. point-to-point, we get $R_{1}+R_{2} \leq I\left(X_{1}, X_{2} ; Y\right)$.
Alternatively we can look at it the other way (Fig. 3):


Figure 3: MAC viewed another way

In this case the decoder is more powerful than in the regular MAC case, since $X_{2}$ is available to it. We can view this as a point-to-point channel with additive noise $X_{2}$. Thus it follows reliable communication is possible only when $R_{1} \leq I\left(X_{1} ; Y \mid X_{2}\right)$. Since the decoder is more powerful than the MAC decoder, this will be an upper bound on the rate of communication possible with MAC.

## 5 Correlated Sources

The basic model is given in Fig. 4. Note that what makes this problem interesting is the fact that $\left(X_{1}, X_{2}\right)$ are possibly dependent.


Figure 4: Correlated Sources Model

Ex: Let $Z_{0}, Z_{1}, Z_{2}$ be independent random variables with entropy $H_{0}, H_{1}, H_{2}$ respectively. Let $X_{1}=\left(Z_{0}, Z_{1}\right)$ and $X_{2}=\left(Z_{0}, Z_{2}\right)$ be the sources of interest. Note that $H\left(X_{1}\right)=H_{0}+H_{1}$ and $H\left(X_{2}\right)=H_{0}+H_{2}$.

It's easy to see that we can transmit at rates $R_{1}=H_{1}$ and $R_{2}=H_{0}+H_{2}$, if we push all of $Z_{0}$ information through channel 2. Symmetrically we can transmit at $R_{1}=H_{0}+H_{1}$ and $R_{2}=H_{2}$, if we push all of $Z_{0}$ information through cahhnel 1.

It follows naturally via time-sharing that we can transmit at the $R_{1}=\alpha H_{0}+H_{1}$ and $R_{2}=$ $(1-\alpha) H_{0}+H_{2}$, for $0 \leq \alpha \leq 1$, by proportionately transmitting $Z_{0}$ information through channel 1
and channel 2 .
Based on this example, we can hope (conjecture) that rates $\left(R_{1}, R_{2}\right)$ are achievable if $R_{1} \geq H\left(X_{1} \mid X_{2}\right)$, $R_{2} \geq H\left(X_{2} \mid X_{1}\right)$ and $R_{1}+R_{2} \geq H\left(X_{1}, X_{2}\right)$. In fact this turns out to be the statement of our main theorem.
$\operatorname{Thm}($ Slepian-Wolf $)$ : In the correlated sources model, rates $\left(R_{1}, R_{2}\right)$ are achievable if and only if

$$
\begin{align*}
& R_{1} \geq H\left(X_{1} \mid X_{2}\right)  \tag{4}\\
& R_{2} \geq H\left(X_{2} \mid X_{1}\right)  \tag{5}\\
& R_{1}+R_{2} \geq H\left(X_{1}, X_{2}\right) \tag{6}
\end{align*}
$$

The idea is to transmit only the jointly typical sequences $\left(X_{1}, X_{2}\right)$. This idea is illustrated in Fig. 5. Note that $H_{1}=H\left(X_{1}\right), H_{2}=H\left(X_{2}\right), I=I\left(X_{1} ; X_{2}\right)$.

jointly typical pairs

Figure 5: Slepian-Wolf Encoding

From the figure, we can infer the following:

$$
\begin{equation*}
\# \text { dots per row }=\frac{\# \text { dots }}{\# \text { rows }}=\frac{2^{n\left(H_{1}+H_{2}-I\right)}}{2^{n H_{1}}}=2^{n\left(H_{2}-I\right)}=2^{n H\left(X_{2} \mid X_{1}\right)} \tag{7}
\end{equation*}
$$

Similarly we'll have \# dots per column $=2^{n\left(H_{1}-I\right)}=2^{n H\left(X_{1} \mid X_{2}\right)}$. The random coding argument goes as follows: We need to assign indices to each row, but we don't have $2^{n H_{1}}$ indices. Thus for each row, we pick an index randomly from $\left\{1, \ldots, 2^{n R_{1}}\right\}$. We do the same thing for the columns. Decoder will get "boxes" defined by the indices. If there's only one typical element in the box, then we output that element. If there's zero or more than one, then we declare an error. Formal proof will be given in the next lecture.

