

## Lecture 4

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## Membership algorithm for the permutation group

In the last lecture we only sketched the proof of Gauss's lemma.

**Exercise 1.** Prove Gauss's lemma rigorously.

The problem we are considering today is as follows: given a group  $G$ , subgroup of the symmetric group  $S_n$ , and an element  $\pi \in S_n$ , we would like to know whether  $\pi$  belongs to  $G$ . In order to ask this question we must have a way to represent the group  $G$ , and the most natural way to do so is by giving a set  $S = \{\pi_1, \dots, \pi_l\}$  such that  $\pi_i \in S_n$  for all  $i \in [l]$ , and  $G = \langle S \rangle$ , generated by the set  $S$ . Moreover, we represent every permutation  $\pi$  by specifying the image of any  $k \in [n]$  under  $\pi$  (given such a representation we can also easily verify if it is really a permutation). Back to our original question of whether  $\pi$  is in  $G$ , one way to show that  $\pi$  is in  $G$  is to write  $\pi$  as a product of elements in  $S$ . However, as it turns out this is not a very efficient way, since:

**Exercise 2.** Given  $G \subset S_n$ , generated by less than or equal to  $n$  elements, there is a permutation  $\pi \in G$  such that the shortest product of its generators representing  $\pi$  is exponential in  $n$ .

**Exercise 3.** Express the towers of Hanoi as a permutation group problem.

Since we saw that if  $G$  is given by just any set of generators  $S$  it won't be efficient to look for a representation of elements as product of the given generators, we will shortly introduce the notion of a strong generating set of  $G$ . The idea is that we would like to have a (relatively) short representation for any  $\pi$ , and by adding some special elements to our set of generators we might be able to do this (start with the empty set and successively add suitable generators).

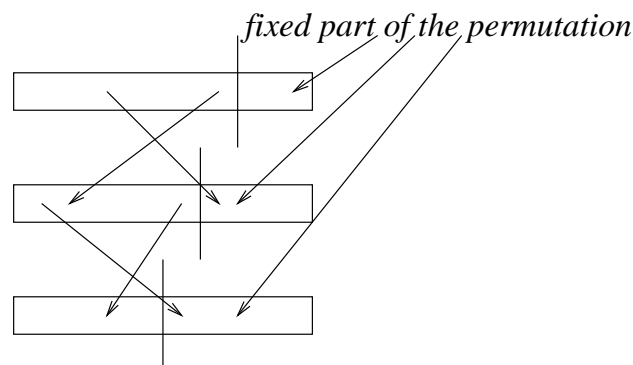
**Definition.**  $T \subset G$  is a *strong generating set* (SGS) of  $G$  if for every  $j < k \leq n$  we have the following: if there exists a  $\tau \in G$  such that  $\tau(k+1) = k+1, \dots, \tau(n) = n, \tau(j) = k$ , then there exists a  $\sigma = \sigma_{jk}$  in  $T$  such that  $\sigma(k+1) = k+1, \dots, \sigma(n) = n, \sigma(j) = k$ .

**Lemma.** (a) Every group  $G$  has a SGS  $T$  with cardinality  $O(n^2)$ .  
 (b)  $\langle T \rangle = G$ .

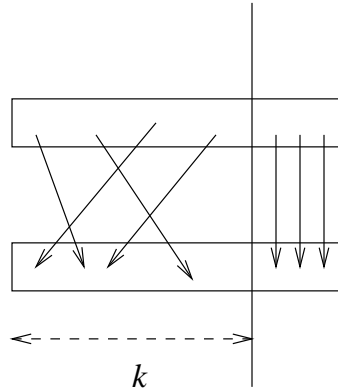
*Proof.* (a) It is clear that any group  $G$  has a SGS since  $G$  itself is a SGS. Moreover, we see from the definition of SGS that for every  $j, k, j \neq k$  there is at most one generator in  $T$ . This,  $|T|$  is less than or equal to  $n$  choose 2.

(b) To prove this we introduce the following concept.

For  $T \subset G$  define  $\bar{T}$  as the elements obtained by greedy (right-to-left) movements using elements of  $T$ . See the illustration:



**Definition.** For every permutation  $\sigma \in S_n$ , let  $k(\sigma)$  be the largest  $k$  such that  $\sigma(k) \neq k$ . Then, for a SGS  $T$  of  $G$  we define  $\bar{T} = \{\sigma_1\sigma_2 \dots \sigma_t \mid \sigma_1, \sigma_2, \dots, \sigma_t \in T\}$ .



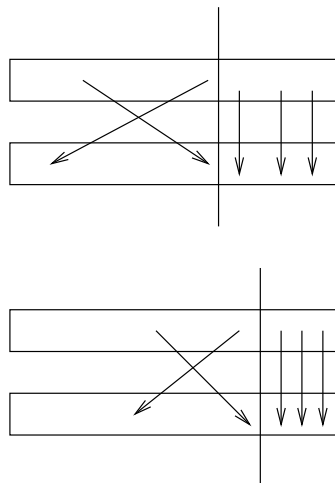
It is clear that if  $T \subset G$  then  $\bar{T} \subset \langle T \rangle \subset G$ . If  $T$  is a SGS for  $G$  then  $G \subset \bar{T}$ . Now, returning to our original problem of whether or not  $\pi$  belongs to  $G$ , we can give the following algorithm:

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MEM-WITH-SGS( $\pi, G, T$ ) // Does the group  $G$  with SGS  $T$  contain  $\pi$ ?
let  $k = k(\pi)$ 
let  $j = \pi^{-1}(k)$ 
let  $\sigma_t \in T$  such that  $\sigma_t(j) = k, k(\sigma_t) = k$ 
let  $\sigma_1, \sigma_2, \dots, \sigma_{t-1}$  generate  $\pi\sigma_k^{-1}$  in  $\bar{T}$ 
Output( $\sigma_1, \sigma_2, \dots, \sigma_t$ )
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Note that this algorithm also shows  $G \subset \bar{T}$ . For a proof see Professor Sudan's notes.

Since the above algorithm is very efficient, we see that if we had a SGS for  $G$  then we could easily tell whether  $\pi$  belongs to  $G$  or not. Thus, given  $G$  with some set of generators  $S$  we will construct a SGS. Once we do this, we solve our problem of deciding  $\pi \in G$ .

The idea for building a SGS for  $T$  is to start with the empty set and successively add in suitable elements, where suitable means that we will get a SGS at the end. Basically while there are elements that are not in  $\bar{T}$  but are in  $\langle T \cup S \rangle$ , we will add some suitable  $\sigma$  to  $T$ . Note that there is a big difference in the notion of  $\langle T \cup S \rangle$  and  $\bar{T}$  in that in  $\bar{T}$  we can only "juggle right to left". Indeed, if in the illustration below  $\sigma_2 \in T \cup S$  is the upper permutation and  $\sigma_1 \in T \cup S$  the lower one, then we can tell that  $\sigma_1\sigma_2 \in \langle T \cup S \rangle$ , whereas it is not sure that  $\sigma_1\sigma_2$  is in  $\bar{T}$ .



We can obtain a SGS for  $G$  is by the following procedure. Set  $T = \emptyset$  at the beginning, then:

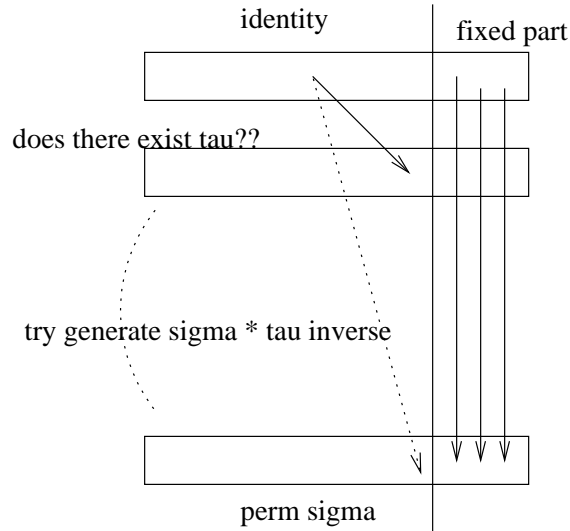
ADD-ELEM( $S, T, \sigma$ )

if there is  $\tau \in T$  such that  $k(\tau) = k(\sigma) = k$  and  $j = \sigma^{-1}(k) = \tau^{-1}(k)$

then ADD-ELEM( $S, T, \sigma\tau^{-1}$ )

else add  $\sigma$  to  $T$ .

See illustration for an explanation of the algorithm:



**Lemma.** If (1)  $T \subset\subset S$ ,

(2)  $S \subset \bar{T}$ ,

(3) for every  $\sigma_1, \sigma_2 \in T$ ,  $\sigma_1\sigma_2 \in \bar{T}$ ,

then  $T$  is a SGS for  $\langle S \rangle$ .

For a careful proof take a look at Professor Sudan's notes.

An idea here how to prove this:

Part 1. It follows from (1), (2), (3), that  $\langle S \rangle \subset \bar{T}$ .

$\bar{T}$  closed under multiplication; use subtle induction.

Part 2. Using above we claim that  $T$  is a SGS for  $\langle S \rangle$ .

Given  $\pi \in \langle S \rangle$ ,  $k(\pi) = k$ ,  $\pi(j) = k$ , we need to show that there exists  $\pi' \in T$  such that  $k(\pi') = k$ ,  $\pi'(j) = k$ . If  $\pi = \pi_1\pi_2 \dots \pi_l$ , with  $\pi_1, \pi_2, \dots, \pi_l \in T$  then  $\pi_l$  satisfies the condition.